Proving Non-Joinability using Weakly Monotone Algebras

> Bertram Felgenhauer (AoE) Johannes Waldmann (HTWK Leipzig)

> > IWC 2019

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- ▶ Def: *peak*: $s * \leftarrow \cdot \rightarrow * t$, *joinable*: $s \rightarrow * \cdot * \leftarrow t$ *confluent*: each peak is joinable
- ▶ non-joinable: $\rightarrow^*(s) \cap \rightarrow^*(t) = \emptyset$. If \rightarrow is non-terminating, then $\rightarrow^*(s), \rightarrow^*(t)$ can be infinite.
- Image content of the described in some finite way, e.g., as finite automata A ⊇ →*(s), B ⊇ →*(t). then check emptiness of A ∩ B (Zankl et al., 2011)
- this paper:
 - use weighted automata A, B, representing weakly monotone algebras,
 such that Kronecker product algebra (represents x → A(x) → B(x)) has bounded weights
 such that bound is less than A(s) → B(t).

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- ▶ non-joinability of ag, bh with respect to $\mathcal{R} =$
 - $\{g \rightarrow ag, g \rightarrow i, h \rightarrow bh, h \rightarrow i, i \rightarrow abi, ab \rightarrow ba, ba \rightarrow ab\}$
- algebras $A : s \mapsto \#_a(s) \#_b(s), B : s \mapsto \#_b(s) \#_a(s),$
 - $| or (s \in \neg)_{i}(ag) : 1 \leq A(s) \quad \text{note:} (h \rightarrow bh) \text{ not usable} \\ | or (s \in \neg)_{i}(bh) : 1 \leq B(s) \quad \text{note:} (g \rightarrow ag) \text{ not usable} \\ | or (all (s : A(ag) + B(bh) = 2 \leq 0 = A(s) + B(s) \\ | or (all (s : A(ag) + B(bh) = 2 \leq 0 = A(s) + B(s) \\ | or (all (s : A(ag) + B(bh) = 2 \leq 0 = A(s) + B(s) \\ | or (all (s : A(ag) + B(bh) = 2 \leq 0 = A(s) + B(s) \\ | or (all (s : A(ag) + B(bh) = 2 \leq 0 = A(s) + B(s) \\ | or (all (s : A(ag) + B(bh) = 2 \leq 0 = A(s) + B(s) \\ | or (all (s : A(ag) + B(bh) = B(bh) = B(bh) \\ | or (all (s : A(ag) + B(bh) = B(bh) = B(bh) \\ | or (all (s : A(ag) + B(bh) = B(bh) = B(bh) \\ | or (all (s : A(ag) + B(bh) = B(bh) = B(bh) \\ | or (all (s : A(ag) + B(bh) = B(bh) = B(bh) \\ | or (all (s : A(ag) + B(bh) = B(bh) = B(bh) \\ | or (all (s : A(ag) + B(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (all (s : A(bh) = B(bh) = B(bh) \\ | or (al$
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 $\rightarrow^*_{\mathcal{R}}(ag) \supseteq \{a^n b^m i \mid n > m\}, \quad \rightarrow^*_{\mathcal{R}}(bh) \supseteq \{a^n b^m i \mid n < m\}$

represent A, B as arctically ({−∞} ∪ Z, max, +) weighted automata, with one state each. Encode non-usability by A(h) = −∞, B(g) = −∞.

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- ► algebras $A : s \mapsto \#_a(s) \#_b(s), B : s \mapsto \#_b(s) \#_a(s),$

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Abstract Non-Joinability Criterion (Thm. 3)

Let A, B, C be weakly monotone Σ-algebras such that R is weakly oriented by both A and B,
 s, t ∈ T(Σ) be ground terms and δ : A × B → C be a pre-homomorphism between weakly monotone Σ-algebras. Then s and t are non-joinable provided that for some c ∈ C,

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- 1. $\delta([s]^{\mathcal{A}}, [t]^{\mathcal{B}}) \leq c$, and
- 2. $f^{\mathcal{C}}(\boldsymbol{c},\ldots,\boldsymbol{c}) \leq \boldsymbol{c}$ for all $f \in \Sigma$.

application (Ex. 7, compatible tree automata method)

- A, B: finite automata; weakly oriented: R-closed
- C: their Cartesian product automaton (for intersection)
- c: reachable states in C

next: extend to weighted automata, restrict to strings

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S-weighted tree automaton A over alphabet Σ :

- set of states Q,
- family of transition mappings $\mu_k : \Sigma_k \to (Q^k \times Q \to S)$,
- root weight vector $\nu : \mathbf{Q} \to \mathbf{S}$.

The algebra μ_A of this automaton has domain ($Q \rightarrow S, \leq$). (*Q*-indexed vectors of *S* values, ordered point-wise)

 Kronecker product automaton A ⊙ B with states Q_A × Q_B, µ_{A⊙B}(f)((v_A, v_B), (p_A, p_B)) = µ_A(f)(v_A, p_A) ⊙ µ_B(f)(v_B, p_B)
 current implementation is for strings only, as matrix interpretations do not commute with ⊙

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- for proving <u>Nonko</u>nfluenz (and it rhymes with a TV series)
- Noko Leipzig is part of Matchbox https://gitlab.imn. htwk-leipzig.de/waldmann/pure-matchbox
- core functionality: prove non-joinability
 - input: SRS \mathcal{R} over Σ ; $s, t \in \Sigma^*$; $d, b \in \mathbb{N}$.
 - > output (if successful): arctically weighted automata A, B with d states, weights represented by b bits, and arctic vector c ∈ {Ω_A × Ω_B → A}, that fulfil the conditions of Theorem 3
- transform to a Boolean satisfiability problem with the Ersatz library (Kmett 201?), solve with Minisat (Sörensen 200?)
 performance in CoCo 2019 (for SRS): 6 unique NO answers, two (Cops 1034, 1131) using automata.

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▶ rules $R = R_1 \cup R_2$ where $R_1 = \{ba \rightarrow cab, ca \rightarrow aba\}, R_2 = \{da \rightarrow bdd, dc \rightarrow cbb\}$

▶ peak $s = cbba \leftarrow dca \rightarrow daba = t$



- ► $A(s) = -1, B(t) = 3, \forall x : A(x) \cdot B(x) \in \{-\infty, 0\}$
- A ⊙ B is (weakly increasing and) not constant (if last d vanishes, it jumps from -∞ to 0)
- notes: A is constant on R_1 . R_2 is not usable for s.
- cannot be separated by regular languages? cannot be separated by arctic automata with just one state?

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Related Work, Discussion

- contains "compatible tree automata method" (Zankl et al. 2011) as special case
- is certainly related to *Disproving Confluence by...Ordering* (Aoto, 2013), ... but how exactly?
 Both show that δ([*s*]^A, [*t*]^B) ≤ δ([*u*]^A, [*u*]^B) for all *u*.
 Aoto: B as *opposite* of A, check [*s*]^A ≤ [*t*]^A, rules out that

$$([\boldsymbol{s}]^{\mathcal{A}}, [\boldsymbol{t}]^{\mathcal{B}}) \leq ([\boldsymbol{u}]^{\mathcal{A}}, [\boldsymbol{u}]^{\mathcal{B}}) \iff [\boldsymbol{s}]^{\mathcal{A}} \leq [\boldsymbol{u}]^{\mathcal{A}} \leq [\boldsymbol{t}]^{\mathcal{A}}$$

We establish upper bound on $\delta([u]^{\mathcal{A}}, [u]^{\mathcal{B}})$ by induction on u.

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